

#4 030

Please use a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

+

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/010,947
	Filing Date	November 6, 2001
	First Named Inventor	Haines, et al.
	Group Art Unit	t/b/a
	Examiner Name	t/b/a
Total Number of Pages in This Submission		Attorney Docket Number 40655.7600

ENCLOSURES <i>(check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Randee S. Schwartz, Reg. No. 45,085 Snell & Wilmer, LLP
Signature	
Date	January 2, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 1/2/2002			
Typed or printed name	Allis Brown		
Signature		Date	1/2/2002

+

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited as First-Class Mail this date with the United States Postal Service in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231-0001.

Dated: Jan. 2, 2002

By: Allis Brown
Signature of Person Depositing as First-Class Mail

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant(s):	Haines, et al.	Atty. Docket No.:	40655.7600
Serial No.:	10/010947	Conf. No.:	TBA
Filed:	November 6, 2001	Group Art Unit:	TBA
TITLE:	SYSTEM AND METHOD FOR NETWORKED LOYALTY PROGRAM	Examiner:	TBA

INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents
Washington, D.C. 20231-0001

Honorable Commissioner:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed.

No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Snell & Wilmer



Randee S. Schwartz
Registration No. 45,085

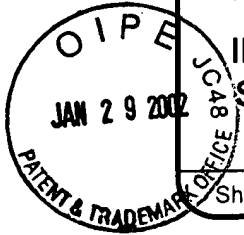
Dated: January 2, 2002

Snell & Wilmer L.L.P.
One Arizona Center
400 E. Van Buren
Phoenix, Arizona 85004-2202
(602) 382-6332
Fax - (602) 382-6070



+

Application Number	10/010,947
Filing Date	November 6, 2001
First Named Inventor	Haines, et al.
Group Art Unit	t/b/a
Examiner Name	t/b/a
Attorney Docket Number	40655.7600



U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,009,412		Storey	12/28/1999	
		5,774,870		Storey	6/30/1998	
		5,999,914		Blinn et al.	12/7/1999	
		5,937,391		Ikeda et al.	8/10/1999	
		5,923,016		Fredregill et al.	7/13/1999	
		6,138,911		Fredregill et al.	10/31/2000	
		6,018,718		Walker et al.	1/25/2000	
		6,128,599		Walker et al.	10/3/2000	
		6,049,778		Walker et al.	4/11/2000	
		6,076,101		Kamakura et al.	6/13/2000	
		6,061,660		Eggleston et al.	5/9/2000	
		6,035,280		Christensen	3/7/2000	
		6,032,136		Brake, Jr. et al.	2/29/2000	
		6,014,634		Scroggie et al.	1/11/2000	
		6,009,411		Kepecs	12/28/1999	
		5,287,268		McCarthy	2/15/1994	
		5,513,102		Auriemma	4/30/1996	
		5,483,444		Heintzeman et al.	1/9/1996	
		5,233,514		Ayyoubi et al.	8/3/1993	
		5,537,314		Kanter	7/16/1996	

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

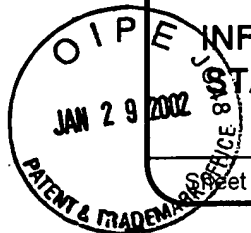
Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 5

Complete if Known

Application Number	10/010,947
Filing Date	November 6, 2001
First Named Inventor	Haines, et al.
Group Art Unit	t/b/a
Examiner Name	t/b/a
Attorney Docket Number	40655.7600

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,025,372		Burton et al.	6/18/1991	
		5,956,695		Carrithers et al.	9/21/1999	
		5,689,100		Carrithers et al.	11/18/1997	
		5,983,196		Wendkos	11/9/1999	
		5,806,045		Biorge et al.	9/8/1998	
		5,864,822		Baker, III	1/26/1999	
		5,734,838		Robinson et al.	3/31/1998	
		5,970,469		Scroggie et al.	10/19/1999	
		5,991,736		Ferguson et al.	11/23/1999	
		5,915,244		Jack et al.	6/22/1999	
		US 6,178,408 B1		Copple et al.	1/23/2001	
		6,012,039		Hoffman et al.	1/4/2000	
		5,491,326		Marceau et al.	2/13/1996	
		6,105,001		Masi et al.	8/15/2000	
		US 6,178,407 B1		Lotvin et al.	1/23/2001	
		5,056,019		Schultz et al.	10/8/1991	
		5,992,738		Matsumoto et al.	11/30/1999	
		5,202,826		McCarthy	4/13/1993	
		5,642,485		Deaton et al.	6/24/1997	
		5,638,457		Deaton et al.	6/10/1997	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+

+

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Application Number	10/010,947
Filing Date	November 6, 2001
First Named Inventor	Haines, et al.
Group Art Unit	1/b/a
Examiner Name	1/b/a
Attorney Docket Number	40655.7600

(use as many sheets as necessary)

Sheet

3

of

5

Attorney Docket Number	40655.7600
------------------------	------------

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,621,812		Deaton et al.	4/15/1997	
		5,832,457		O'Brien et al.	11/3/1998	
		6,026,370		Jermyn	2/15/2000	
		5,892,827		Beach et al.	4/6/1999	
		5,915,007		Klapka	6/22/1999	
		5,644,723		Deaton et al.	7/1/1997	
		5,649,114		Deaton et al.	7/15/1997	
		5,687,322		Deaton et al.	11/11/1997	
		6,000,608		Dorf	12/14/1999	
		5,742,845		Wagner	4/21/1998	
		5,898,838		Wagner	4/27/1999	
		5,905,908		Wagner	5/18/1999	
		4,910,672		Off et al.	3/20/1990	
		5,173,851		Off et al.	12/22/1992	
		5,612,868		Off et al.	3/18/1997	
		5,659,469		Deaton et al.	8/19/1997	
		5,592,560		Deaton et al.	1/7/1997	
		5,448,471		Deaton et al.	9/5/1995	
		5,388,165		Deaton et al.	2/7/1995	
		5,991,376		Hennessy et al.	11/23/1999	

[illegible]

Examiner
Signature

Date	
Considered	

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

+

Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 4 of 5

Complete if Known

Application Number	10/010,947
Filing Date	November 6, 2001
First Named Inventor	Haines, et al.
Group Art Unit	t/b/a
Examiner Name	t/b/a
Attorney Docket Number	40655.7600

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,012,051		Sammon, Jr. et al.	1/4/2000	
		6,039,244		Finsterwald	3/21/2000	
		6,041,309		Laor	3/21/2000	
		6,049,779		Berkson	4/11/2000	
		6,058,371		Dijan	5/2/2000	
		6,101,485		Fortenberry et al.	8/8/2000	
		6,134,318		O'Neil	10/17/2000	
		6,161,096		Bell	12/12/2000	
		6,164,533		Barton	12/26/2000	
		US 6,173,267 B1		Cairns	1/9/2001	
		US 6,185,541 B1		Scroggie et al.	2/6/2001	
		US 6,216,129 B1		Eldering	4/10/2001	
		5,201,010		Deaton et al.	4/6/1993	
		5,675,662		Deaton et al.	10/7/1997	
		5,305,196		Deaton et al.	4/19/1994	
		5,430,644		Deaton et al.	7/4/1995	
		5,327,508		Deaton et al.	7/5/1993	
		5,327,620		Deaton et al.	8/17/1993	
		6,129,274		Suzuki	10/10/2000	
		6,134,548		Gottzman et al.	10/17/2000	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

